

FORM PTO-1390 (REV 10-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			WAS0680PUSA
			U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/523909

INTERNATIONAL APPLICATION NO. PCT/EP2003/009053	INTERNATIONAL FILING DATE 14 August 2003 (14.08.2003)	PRIORITY DATE CLAIMED 23 August 2002 (23.08.2002)
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TITLE OF INVENTION
ORGANOSILICON COMPOUNDS COMPRISING CYCLODEXTRIN RADICALS

APPLICANT(S) FOR DO/EO/US
Christian Ochs et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- 3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. ☒ The US has been elected (Article 31).
- 5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. ☐ Amendments to the claims of the International Application Under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
- 8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- 11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. This invention is assigned to **Wacker-Chemie GmbH of Hanns-Seidel-Platz 4, 81737 München, Germany. The Applicant requests that the assignee information be published with the application.**
- 13. ☒ A preliminary amendment.
- 14. ☐ An Application Data Sheet under 37 CFR 1.76.
- 15. ☐ A substitute specification.
- 16. ☐ A power of attorney and/or change of address letter.
- 17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
- 18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. ☒ Other items or information: Postcard

"Express Mail" Mailing Label No.: EV 499 751 088 US

Date of Deposit: February 8, 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Mail Stop PCT, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Denise R. Greig
Denise R. Greig

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21. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):

<u> X </u>	a) Basic national fee	\$300.00
<u> X </u>	b) Examination fee	\$200.00
<u> X </u>	c) Search fee	\$500.00
TOTAL OF ABOVE CALCULATIONS =		\$1,000.00

\$ 1,000.00

____ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	
83 - 100 =	/ 50 =		X \$250.00	\$ 00.00

Surcharge of **\$130.00** for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 00.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	31 - 20 =	11	X \$50.00	\$ 550.00
Independent claims	3 - 3 =	0	X \$200.00	\$ 00.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			0 + \$360.00	\$ 00.00
TOTAL OF ABOVE CALCULATIONS =				\$ 1,000.00

____ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

\$ 00.00

SUBTOTAL = \$ 1,000.00

Processing fee of **\$130.00** for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ 00.00

TOTAL NATIONAL FEE = \$ 1,000.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property +

\$ 40.00

TOTAL FEES ENCLOSED = \$ 1,590.00

Amount to be refunded:	\$
Amount to be charged:	\$ 1,590.00

a. X A check in the amount of \$ 1,590.00 to cover the above fees is enclosed.

b. ____ Please charge my Deposit Account No. 02-3978 in the amount of \$ ____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-3978. A duplicate copy of this sheet is enclosed.

d. ____ Fees are to be charged to a charge card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

CUSTOMER NO.
22045

Signature:

Name: William G. Conger

Registration No.: 31,209